



Kevin Knight, <http://www.isi.edu/natural-language/people/pictures/eee-expert-1.gif>

## Modeling Natural Text

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CS159 – Spring 2019

## Admin

### Projects

- Status report due Sunday

### Schedule for the rest of the semester

- Monday (4/29): text simplification
- Wednesday (5/1): ethics
  - Post 1-2 papers to read
  - Discussion
- Monday (5/6): **1 hr quiz** + presentation info
- Wednesday (5/8): project presentations

## Document Modeling



<http://whatshout.com/index.php/2011/05/when-this-limited-edition-silk-scarf-and-inside-book-by-best-selling-author-brenda-novak/brenda-novak-scarf-inside-book-giveaway-model-front/>

## Modeling natural text

Your goal is to create a probabilistic model of natural (human) text

What are some of the questions you might want to ask about a text?

What are some of the phenomena that occur in natural text that you might need to consider/model?

## Modeling natural text

### Questions

- what are the key topics in the text?
- what is the sentiment of the text?
- who/what does the article refer to?
- what are the key phrases?

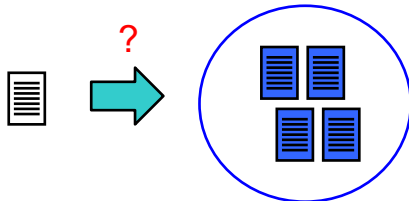
### Phenomena

- synonymy
- sarcasm/hyperbole
- variety of language (slang), misspellings
- coreference (e.g. pronouns like he/she)

## Document modeling:

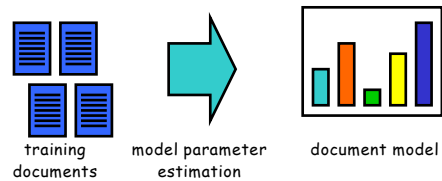
learn a probabilistic model of documents

Predict the likelihood that an unseen document belongs to a set of documents



Model should capture text characteristics

## Training a document model



## Applying a document model

Document model: what is the probability the new document is in the same "set" as the training documents?



## Document model applications



## Applications

### search engines



search  
advertising  
corporate databases

### language generation



speech recognition

美清  
花想  
月平安  
福如  
神安  
手智

machine translation

I think, therefore I am



I am

text simplification

### text classification and clustering



SPAM



document hierarchies



sentiment analysis

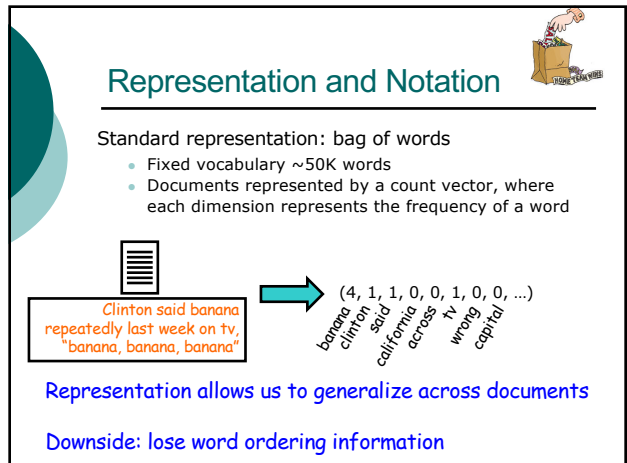
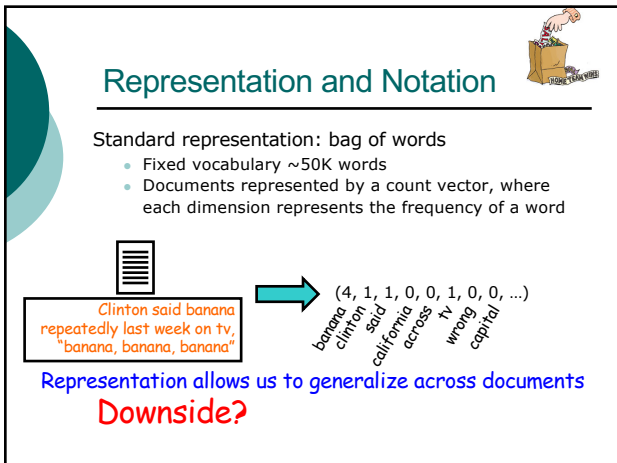
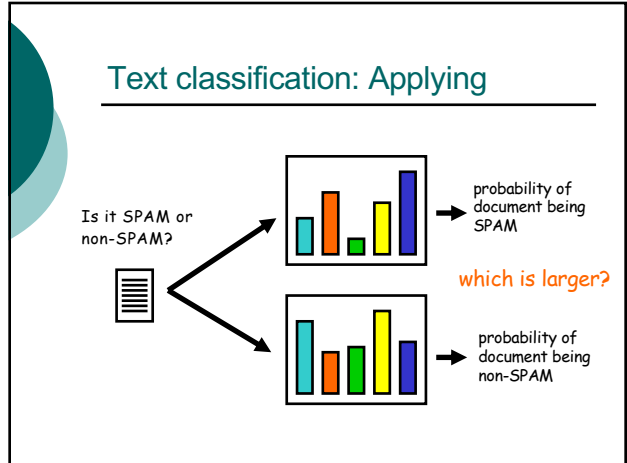
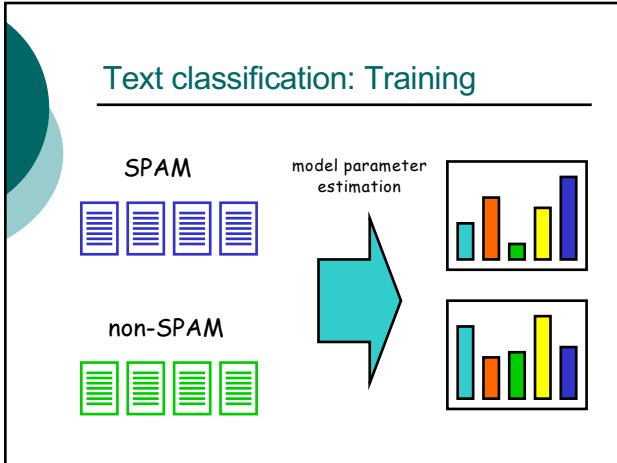
## Application: text classification



**Spam**  
spam  
not-spam

**Category**  
sports  
politics  
entertainment  
business  
...

**Sentiment**  
positive  
negative



## Word burstiness

What is the probability that a political document contains the word "Clinton" *exactly once*?

The Stacy Koon-Lawrence Powell defense! The decisions of Janet Reno and Bill Clinton in this affair are essentially the moral equivalents of Stacy Koon's. ...

$$p(\text{"Clinton"}=1|\text{political}) = 0.12$$

## Word burstiness

What is the probability that a political document contains the word "Clinton" *exactly twice*?

The Stacy Koon-Lawrence Powell defense! The decisions of Janet Reno and Bill Clinton in this affair are essentially the moral equivalents of Stacy Koon's. Reno and Clinton have the advantage in that they investigate themselves.

$$p(\text{"Clinton"}=2|\text{political}) = 0.05$$

## Word burstiness in models

$$p(\text{"Clinton"}=1|\text{political}) = 0.12$$

$$p(x_1, x_2, \dots, x_m | \theta_1, \theta_2, \dots, \theta_m) = \frac{n!}{\prod_{j=1}^m x_j!} \prod_{j=1}^m \theta_j^{x_j}$$

Under the multinomial model, how likely is  $p(\text{"Clinton"} = 2 | \text{political})$ ?

## Word burstiness in models

$$p(\text{"Clinton"}=2|\text{political}) = 0.05$$

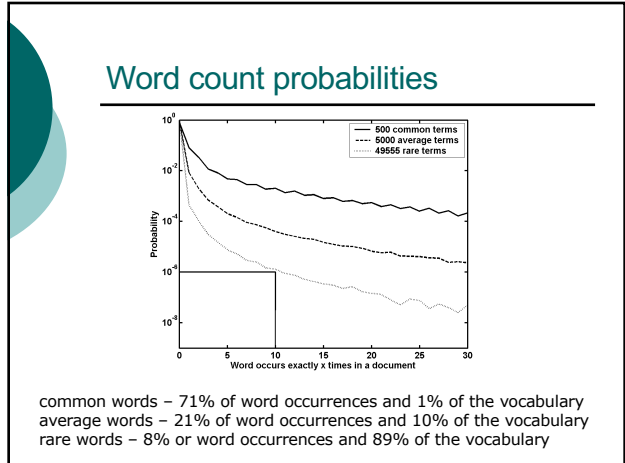
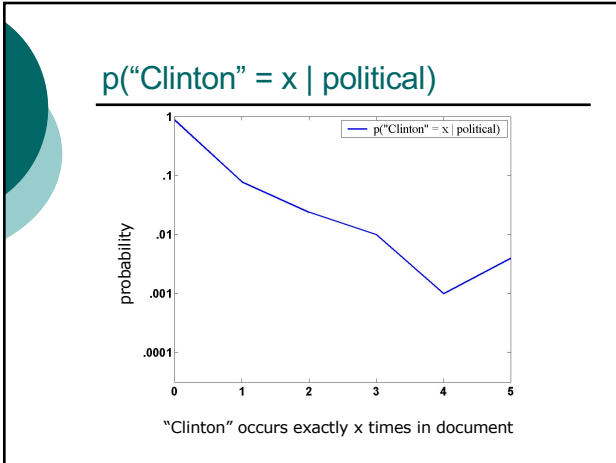
Many models incorrectly predict:

$$p(\text{"Clinton"}=2|\text{political}) \approx p(\text{"Clinton"}=1|\text{political})^2$$

$0.05 \neq \mathbf{0.0144} (0.12^2)$

And in general, predict:

$$p(\text{"Clinton"}=i|\text{political}) \approx p(\text{"Clinton"}=1|\text{political})^i$$



### The models...

YOU'RE TRYING TO PREDICT THE BEHAVIOR OF COMPLICATED SYSTEMS? JUST MODEL IT AS A SIMPLE OBJECT? AND THEN ADD SOME SECONDARY TERMS TO ACCOUNT FOR COMPLICATIONS I JUST THOUGHT OF?

EASY, RIGHT?

SO WHY DOES YOUR FIELD NEED A WHOLE JOURNAL, ANYWAY?

LIBERAL-ARTS MODELS MAY BE ANNOYING SOMETIMES, BUT THERE'S NOTHING MORE DIGNIFIED THAN A PHYSICIST FIRST ENCOUNTERING A NEW SUBJECT.

<https://xkcd.com/793/>

### Multinomial model

20 rolls of a fair, 6-side die - each number is equally probable

(1, 10, 5, 1, 2, 1)

ones twos threes fours fives sixes

(3, 3, 3, 3, 4, 4)

ones twos threes fours fives sixes

Which is more probable?

### Multinomial model

20 rolls of a fair, 6-side die - each number is equally probable

(1, 10, 5, 1, 2, 1)  
 ones twos threes fours fives sixes

(3, 3, 3, 3, 4, 4)  
 ones twos threes fours fives sixes

How much more probable?

### Multinomial model

20 rolls of a fair, 6-side die - each number is equally probable


(1, 10, 5, 1, 2, 1)  
 0.000000764

(3, 3, 3, 3, 4, 4)  
 0.000891

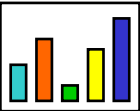
1000 times more likely


### Multinomial model for text

Many more "sides" on the die than 6, but the same concept...

 (4, 1, 1, 0, 0, 0, 1, 0, 0, ...)

barbara  
 clinton  
 sold  
 california  
 across  
 tv  
 wrong  
 capital

  
 multinomial document model


  
 probability

### Generative Story

To apply a model, we're given a document and we obtain the probability

We can also ask how a given model would *generate* a document

This is the "generative story" for a model



### Multinomial Urn: Drawing words from a multinomial

Selected:

### Drawing words from a multinomial

Selected:  $w_1$

### Drawing words from a multinomial

Selected:  $w_1$   
Put a copy of  $w_1$  back

sampling with replacement

### Drawing words from a multinomial

Selected:  $w_1$   $w_1$



sampling with replacement

### Drawing words from a multinomial

Selected:  $w_1$   $w_1$

Put a copy of  $w_1$  back

### Drawing words from a multinomial

Selected:  $w_1$   $w_1$   $w_2$

sampling with replacement

### Drawing words from a multinomial

Selected:  $w_1$   $w_1$   $w_2$

Put a copy of  $w_2$  back

### Drawing words from a multinomial

Selected:  $w_1$   $w_1$   $w_2$  ...

### Drawing words from a multinomial

Does the multinomial model capture burstiness?

### Drawing words from a multinomial

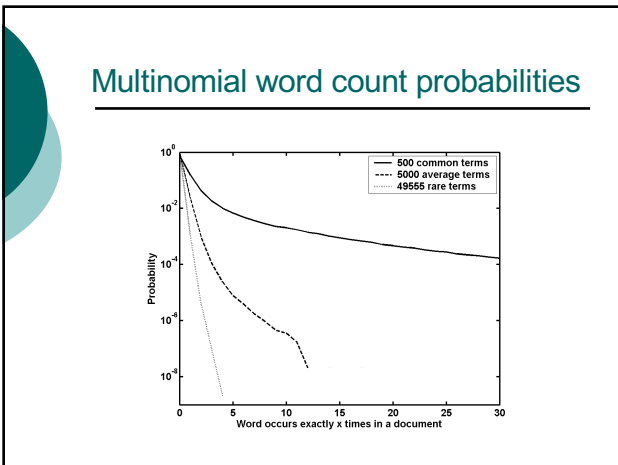
$p(\text{word})$  remains constant, independent of which words have already been drawn (in particular, how many of this particular word have been drawn)

**burstiness**

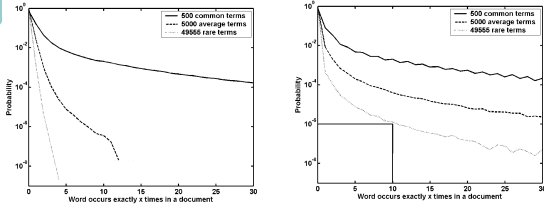
### Multinomial probability simplex

Generate documents containing 100 words from a multinomial with just 3 possible words

word 1   word 2   word 3  
 {0.31,   0.44,   0.25}



## Multinomial does not model burstiness of average and rare words



## Better model of burstiness: DCM

### Dirichlet Compound Multinomial

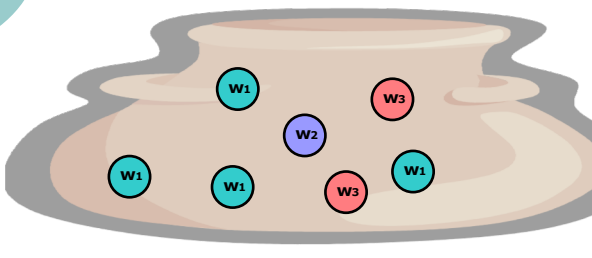
#### Polya Urn process

- **KEY:** Urn distribution changes based on previous words drawn
- Generative story:
  - Repeat until document length hit
    - Randomly draw a word from urn – call it  $w_i$
    - Put **2** copies of  $w_i$  back in urn



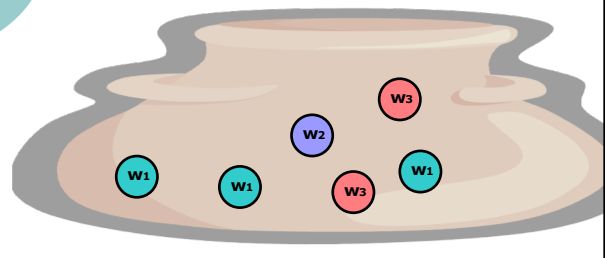
## Drawing words from a Polya urn

Selected:



## Drawing words from a Polya urn

Selected: W1



### Drawing words from a Polya urn

Selected:  $w_1$   
Put 2 copies of  $w_1$  back

Adjust parameters

### Drawing words from a Polya urn

Selected:  $w_1$   $w_1$

### Drawing words from a Polya urn

Selected:  $w_1$   $w_1$   
Put 2 copies of  $w_1$  back

Adjust parameters

### Drawing words from a Polya urn

Selected:  $w_1$   $w_1$   $w_2$

### Drawing words from a Polya urn

Selected:  $w_1$   $w_1$   $w_2$   
 Put 2 copies of  $w_2$  back

Adjust parameters

### Drawing words from a Polya urn

Selected:  $w_1$   $w_1$   $w_2$  ...

### Polya urn

★ Words already drawn are more likely to be seen again

Results in the *Dirichlet Compound Multinomial (DCM) distribution*

### Controlling burstiness

Same distribution of words

**Which is more bursty?**

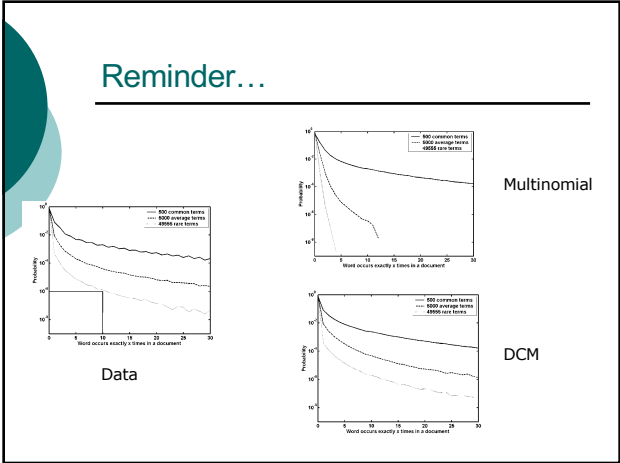
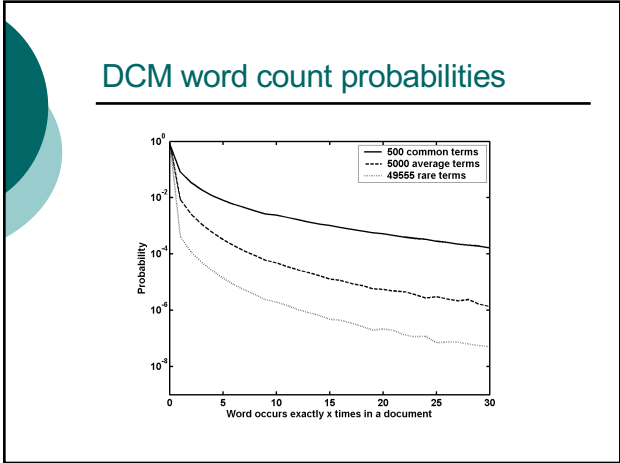
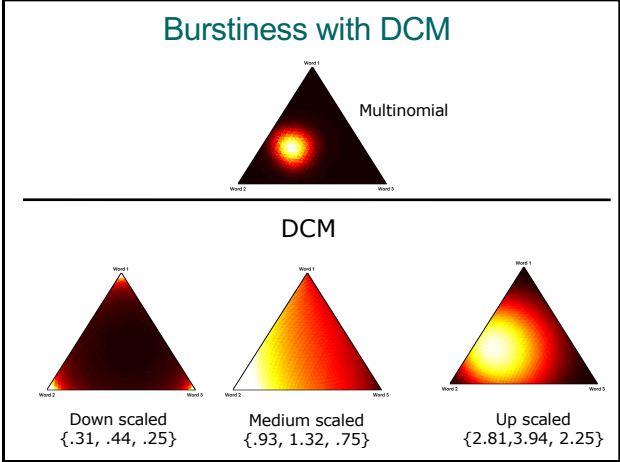
more bursty      less bursty

## Polya urn

Words already drawn are more likely to be seen again

Results in the *DCM distribution*

We can modulate burstiness by increasing/decreasing the number of words in the urn while keeping distribution the same



### DCM Model: another view

$$p(x_1, x_2, \dots, x_m | \theta_1, \theta_2, \dots, \theta_m) = \frac{n!}{\prod_{j=1}^m x_j!} \prod_{j=1}^m \theta_j^{x_j} \quad \text{Multinomial}$$

$$p(x_1, x_2, \dots, x_m | \alpha_1, \alpha_2, \dots, \alpha_m) = \frac{|x|!}{\prod_{w=1}^m x_w!} \frac{\Gamma(\sum_{w=1}^m \alpha_w)}{\prod_{w=1}^m \Gamma(\alpha_w)} \prod_{w=1}^m \frac{\Gamma(x_w + \alpha_w)}{\Gamma(\alpha_w)} \quad \text{DCM}$$

### DCM Model: another view

$$p(x_1, x_2, \dots, x_m | \alpha) = \int_{\theta} p(x | \theta) p(\theta | \alpha) d\theta$$

$p(x|\theta) \sim$   
multinomial

$p(\theta|\alpha) \sim$   
Dirichlet

document is drawn from a multinomial

Dirichlet distribution over the types of multinomials that are generated per class

### DCM Model: another view

$p(x|\theta) \sim$   
multinomial

$p(\theta|\alpha) \sim$   
Dirichlet

$$p(x_1, x_2, \dots, x_m | \alpha) = \int_{\theta} p(x | \theta) p(\theta | \alpha) d\theta$$

*Generative story for a single class*  
 A class is represented by a Dirichlet distribution  
 Draw a multinomial based on class distribution  
 Draw a document based on the drawn multinomial distribution

### Dirichlet Compound Multinomial

$$p(x_1, x_2, \dots, x_m | \alpha) = \int_{\theta} p(x | \theta) p(\theta | \alpha) d\theta$$

$$= \int_{\theta} \frac{|x|!}{\prod_{w=1}^W x_w!} \left( \prod_{w=1}^W \theta_w^{x_w} \right) \frac{\Gamma(\sum_{w=1}^W \alpha_w)}{\prod_{w=1}^W \Gamma(\alpha_w)} \prod_{w=1}^W \theta_w^{\alpha_w - 1} d\theta$$

$p(x|\theta) \sim$   
multinomial

$p(\theta|\alpha) \sim$   
Dirichlet

## Dirichlet Compound Multinomial

$$\begin{aligned}
 p(\mathbf{x} | \alpha) &= \int_{\theta} \frac{|\mathbf{x}|!}{\prod_{w=1}^W x_w!} \left( \prod_{w=1}^W \theta_w^{x_w} \right) \frac{\Gamma(\sum_{w=1}^W \alpha_w)}{\prod_{w=1}^W \Gamma(\alpha_w)} \prod_{w=1}^W \theta_w^{\alpha_w-1} d\theta \\
 &= \frac{|\mathbf{x}|!}{\prod_{w=1}^W x_w!} \frac{\Gamma(\sum_{w=1}^W \alpha_w)}{\prod_{w=1}^W \Gamma(\alpha_w)} \int_{\theta} \prod_{w=1}^W \theta_w^{\alpha_w+x_w-1} d\theta \\
 &= \frac{|\mathbf{x}|!}{\prod_{w=1}^W x_w!} \frac{\Gamma(\sum_{w=1}^W \alpha_w)}{\prod_{w=1}^W \Gamma(\alpha_w)} \prod_{w=1}^W \frac{\Gamma(x_w + \alpha_w)}{\Gamma(\alpha_w)}
 \end{aligned}$$

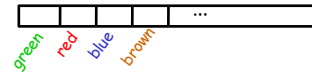
## Modeling burstiness in other applications

Which model would be better: multinomial, DCM, other?

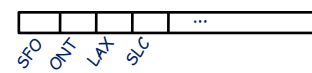
- User movie watching data



- Bags of M&Ms



- Daily Flight delays



## Experiments

Modeling one class: document modeling

Modeling alternative classes: classification



## Two standard data sets

Industry sector (web pages)

- More classes
- Less documents per class
- Longer documents


20 newsgroups (newsgroup posts)


- Fewer classes
- More documents per class
- Shorter documents



### Modeling a single class: the fruit bowl

Mon   Tue   Wed   Th   Fri   Sat   Sun

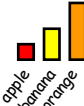
Student 1 


Student 2 

Goal: predict what the fruit mix will be for the following Monday (assign probabilities to options)

### Modeling a single class/group

How well does a model predict unseen data?

Model 1 


Model 2 


Monday (3 2 0)  
apple banana orange

Which model is better?  
How would you quantify how much better?

### Modeling evaluation: perplexity

Perplexity is the average of the negative log of the model probabilities (likelihood) on test data

Model 1 

Model 2 

test example (3 2 0)  
apple banana orange

Use the same idea to measure the performance of the different models for modeling one set of documents

### Perplexity results

20 newsgroups data set

Multinomial	<b>92.1</b>
DCM	<b>58.7</b>

**Lower is better**

- ideally the model would have a perplexity of 0!

Significant increase in modeling performance!

## Classification results

Accuracy = number correct / number of documents

	Industry	20 Newsgroups
Multinomial	0.600	0.853
DCM	<b>0.806</b>	<b>0.890</b>

(results are on par with state of the art discriminative approaches!)

## Next steps in text modeling

Modeling textual phenomena like burstiness in text is important

Better grounded models like DCM **ALSO** perform better in applications (e.g. classification)

### Better models

text substitutability  
relax bag of words constraint  
(model co-occurrence)

### Applications of models

multi-class data modeling  
(e.g. clustering)  
text similarity

hierarchical models

handling short phrases  
(tweets, search queries)

language generation applications  
(speech recognition,  
translation, summarization)